



Docket No.: 42390.P10794

6P2182
#7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

Michael A. Kozuch, et al.

Application No.: 10/085,839

Filed: February 25, 2002

For: METHOD AND APPARATUS
FOR LOADING A TRUSTABLE
OPERATING SYSTEM

)
)
) Art Group: 2182

)
) Examiner: unassigned

RECEIVED

JUL 01 2002

Technology Center 2100

)
) I hereby certify that this correspondence is being deposited
) with the United States Postal Service as first class mail with
) sufficient postage in an envelope addressed to the Assistant
) Commissioner for Patents, Washington, D.C. 20231 on

June 21, 2002

Date of Deposit

Mark W. Baugher

Name of Person Mailing Correspondence

Signature

Date

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and in accordance with 37 C.F.R. § 1.97, the Applicants respectfully call to the attention of the examiner the following co-pending applications that may be material to patentability of the above-referenced application.

Pursuant to 37 CFR 1.98, copies of the co-pending applications are enclosed.

- ✓ U.S. Application Serial No. 09/823,131, filed on March 30, 2001 by Ellison, et al.,
- ✓ U.S. Application Serial No. 09/540,608, filed on March 31, 2000 by Ellison, et al.,
- ✓ U.S. Application Serial No. 09/540,612, filed on March 31, 2000 by Ellison, et al.,
- ✓ U.S. Application Serial No. 09/822,986, filed on March 30, 2001 by Ellison, et al.,
- ✓ U.S. Application Serial No. 09/541,108, filed on March 31, 2000 by Herbert, et al.,
- ✓ U.S. Application Serial No. 09/532,951, filed on March 31, 2000 by Ellison, et al.,
- ✓ U.S. Application Serial No. 09/618,659, filed on July 18, 2000 by Ellison, et al.,
- ✓ U.S. Application Serial No. 09/539,344, filed on March 31, 2000 by Ellison, et al.,

- /U.S. Application Serial No. 09/480,844, filed on March 31, 2000 by Ellison, et al.,
- /U.S. Application Serial No. 09/618,489, filed on July 18, 2000 by Ellison, et al.,
- /U.S. Application Serial No. 09/618,738, filed on July 18, 2000 by Ellison, et al.,
- /U.S. Application Serial No. 09/541,477, filed on March 31, 2000 by Ellison, et al.,
- /U.S. Application Serial No. 09/540,611, filed on March 31, 2000 by Ellison, et al.,
- /U.S. Application Serial No. 09/540,613, filed on March 31, 2000 by Ellison, et al.,
- /U.S. Application Serial No. 09/541,667, filed on March 31, 2000 by Ellison, et al.

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

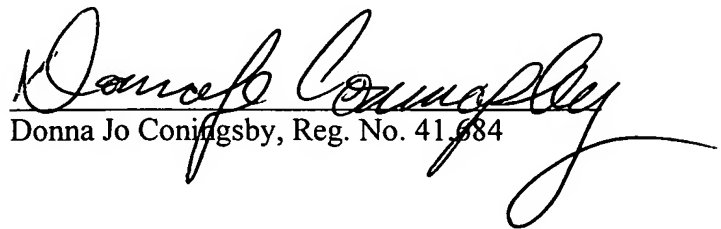
Please charge any additional fee due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal is enclosed for this purpose.

Respectfully submitted,

Blakely, Sokoloff, Taylor & Zafman, LLP

Date:

6-21-02


Donna Jo Coningsby, Reg. No. 41,684

12400 Wilshire Blvd., 7th Floor
Los Angeles, California 90025
(503) 684-6200

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

JUN 27 2002

Complete if Known

☐ Applicant claims small entity status. See 37 CFR 1.101.

TOTAL AMOUNT OF PAYMENT (\$)

Application Number 10/085,839
Filing Date February 25, 2002
First Named Inventor Michael A. Kozuch
Examiner Name unassigned
Group/Art Unit 2182
Attorney Docket No. 42390P10794

RECEIVED

JUN 01 2002

METHOD OF PAYMENT (check one)

- ☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☐ Deposit Account

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

- ☐ Charge fee(s) indicated below ☐ Credit any overpayments
☐ Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

2. EXTRA CLAIM FEES

Total Claims 47
Independent Claims 5
Multiple Dependent

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple Dependent claim, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

**or number previously paid, if greater, For Reissues, see below

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type) Donna Jo Coningsby
Registration No. (Attorney/Agent) 41,684
Telephone (503) 684-6200
Signature *Donna Jo Coningsby*
Date 06/21/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known

☐ Applicant claims small entity status. See 37 CFR 1.17.

TOTAL AMOUNT OF PAYMENT (\$)

Application Number 10/085,839
Filing Date February 25, 2002
First Named Inventor Michael A. Kozuch
Examiner Name unassigned
Group/Art Unit 2182
Attorney Docket No. 42390P10794

RECEIVED

METHOD OF PAYMENT (check one)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☐ Deposit Account

Deposit Account Number

02-2666

Deposit Account Name

Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments
☐ Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

2. EXTRA CLAIM FEES

Total Claims 47
Independent Claims 5
Multiple Dependent
Extra Claims
Fee from below
Fee Paid

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple Dependent claim, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

**or number previously paid, if greater, For Reissues, see below

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920 *	112	920 *	Requesting publication of SIR prior to Examiner action	
113	1,840 *	113	1,840 *	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type) Donna Jo Coningsby
Registration No. 41,684
Telephone (503) 684-6200
Signature *Donna Jo Coningsby*
Date 06/21/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.